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# **TRANSMITTAL FORM** (to be used for all correspondence after initial filing)

10/018,733 **Application Number** December 18, 2001 **Filing Date First Named Inventor** CAPLYGIN, Dimitri Group Art Unit To Be Asigned To Be Assigned **Examiner Name** 

Total Number of Pages in This Submis	sion 250 +	Attorney Dock	ket Number	4402-003	TECH.	
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Fee Attached	i		Drawing(	s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	<i>'</i>		Licensing	-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final			Petition	_		Proprietary Information	
Affidavits/de	claration(s)		Provision	o Convert to a al Application		Status Letter	
Extension of Time F	Request		Change of Address	Attorney, Revocation f Correspondence	X	Other Enclosure(s) (please identify below):	
Express Abandonment Request				Disclaimer for Refund	Return	rn Receipt Postcard;	
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under 37 CF	R 1.52 or 1.53						
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Firm							
GOTTLIEB RACKMAN & REISMAN PC							
Individual name Raymond B. Churchill, Jr., Reg. No. 44,617							
Signature							
Date April 80, 2002							

CERTIFICATE OF MAILING							
	indence is being deposited with the United States Postal Service with commissioner for Patents, Washington, DC 20231 on this date:	sufficient postage as first class April 30, 2002					
Typod or printed name	Belinda I HUNTER /						

Typed or printed name Signature

April 30, 2002 Date Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





Attorney Docket No.: 4402-003

### ANATHE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant/Inventor

CAPLYGIN, Dimitri

U.S. Serial No.

10/018,733

Filing Date

18 December 2001 (18.12.2001)

Title of Invention

SYSTEM FOR ENHANCEMENT OF

**NEUROPHYSIOLOGICAL PROCESSES** 

Examiner

To Be Assigned

Group Art Unit

To Be Assigned

Assistant Commissioner for Patents Washington, D.C. 20231

#### **CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

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Belinda//Hunter

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56, 1.97 AND §1.98

SIR:

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and 1.98, applicant submits herewith a *Supplemental Information Disclosure Statement* along with (4) cited references listed on Form PTO-1449.

These references were cited in the *International Search Report* dated 8 September 2000 (08.09.2000) issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/AU00/00661. A copy of the *International Search Report* was filed by applicant on April 10, 2002.

Inasmuch as the subject application has not yet received a first Office Action on the merits, this information is believed to be timely filed under 37 CFR §1.97(b)(3). Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this Supplemental *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: April 30, 2002

New York, New York

Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC Attorneys for Applicant 270 Madison Avenue New York, New York 10016-0601 Telephone: 212/684-3900

Telefax: 212/684-3999

By:

Raymond B. Churchill, Jr.

Reg. No. 44,617

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 1 1

Complete if Known				
Application Number	10/018,733			
Filing Date . 18 December 2001 (18.12.2001)				
First Named Inventor CAPLYGIN, Dimitri				
Group Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attorney Docket Number	4402-003			

U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.1	U.S. Patent Document  Number  Kind Code² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Α	4,474,186		Ledley et al	10.02.1984	See International Search Report		
	В	5,363,154	Α	Galanter et al	11.08.1994	See International Search Report		
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6	
	U	wo	95/29447	A1	Stephen Brown	11.02.1995	See Intl Search Rot	
	V	wo	98/44848	A1	Teri Lawton	10.15.1998	See Intl Search Rpt	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.